



**UNIVERSITY OF GUAM
UNIBETSEDĀT GUĀHAN**

Board of Regents

UOG Station, Mangilao, Guam 96923
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**Regular Meeting Minutes - AMENDED
April 21, 2022**

1.0 CALL TO ORDER

The Regular Meeting of the Board of Regents (BOR) was called to order by BOR Chairperson Liza J. Provideo on April 21, 2022 at 5:30 p.m., VIA ZOOM. Notice of such meeting have been duly and regularly provided by the BOR.

QUORUM:

**Liza J. Provideo
Sandra H. McKeever
Mike W. Naholowaa
Agapito "Pete" Diaz
Roland S. Certeza
Janice Malilay
Bernadette "Bernie" Valencia
Anisa Topasna**

**Chairperson
Vice Chairperson
Treasurer
Member
Member
Member
Member
Member**

ALSO PRESENT:

**Thomas W. Krise
Anthony Camacho
Chris Mabayag
David S. Okada
Tessica Duenas**

**Executive Secretary
General Counsel
Recording Secretary
CPO/Interim Chief of Staff
Host for Zoom Meeting**

2.0 MEETING MINUTES

2.1 Regular Meeting Minutes of February 24, 2022

Chairperson Provideo asked if there were any corrections or discussion regarding the regular meeting minutes as presented. She requested that a correction be made to the Physical Facilities Committee update to state that *"UOG is putting together a new construction RFP template and will include design standards that are being developed"*. Regent Diaz accepted the correction. Regent Naholowaa moved to approve subject to correction, which was duly seconded by Regent McKeever. The motion carried.

3.0 CHAIRPERSON'S REMARKS

Chairperson Provideo welcomed everyone to the April Regular Meeting. She thanked everyone for keeping safe and healthy and is glad to see restrictions being loosened and moving towards

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“normalcy” for our island and University. She added that it will be an exciting commencement ceremony next month.

She stated that we may be looking at a special BOR meeting on May 12, 2022 at 5:30pm, with a working session at 4:30pm. She asked to please save the date and time and that agenda items will be forthcoming.

She thanked Dr. Krise and the UOG team for a great Charter Day opening ceremony on March 31, 2022. She noted that although there were restrictions on the number of attendees for this event, it was well attended, to include our Governor, Senators, Congressman, Chief Justice, Student Government Association President, students, faculty, staff, and administrators. She also thanked the Regents who attended for their participation.

She then recognized and congratulated Student Regent Anisa Topasna who will be graduating this May 22nd. She stated that Regent Topasna’s term was supposed to end on May 2, 2022, but will be extended until May 20, 2022 to allow the Student Government Association time to complete the election process and certify the election results for her review. She asked the audience to extend a warm congratulations and best wishes on her next chapter in life to Regent Topasna with a round of applause.

Regent Topasna stated that it has been a pleasure working with the BOR and to serve as the Student Regent. She thanked the BOR for a great experience.

4.0 PRESIDENT’S REPORT

President Krise gave the President’s report, a copy of which is attached.

5.0 REPORTS FROM STANDING COMMITTEES

Due to a personal commitment, Regent Naholowaa moved that the agenda be amended to move item **5.5 Budget, Finance, and Audit (BFA) Committee** as the first report from the Standing Committees, which was duly seconded by Regent McKeever. The motion carried unanimously.

5.5 Budget, Finance, and Audit (BFA) Committee

Regent Naholowaa reported that the BFA Committee met on April 14th via zoom with a quorum present and provided a report summarizing the updates given at the BFA Committee Meeting.

5.5.1 Financial Update

He reported that the Department of Administration continues to pay allotments to the University on time. He stated there were three major issues reflected on the financial statements for the period ending February 28, 2022. He stated that tuition revenue was down by about \$1.9M, and that the University believes much of this is related to the pandemic and the student’s aversion to online learning. The second issue is the continued growth in research noting that research expenditures grew by \$1.9M. He stated some of the growth is the result of reduced expenditures for the same period in the prior year as some key grants had been renewed and there had been a slow start up. He stated that the rest of the growth is a reflection of the increased research activities at the University. He then stated that the third issue is the receipt

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of federal funds noting that federal grant revenue more than doubled to \$29.4M and that most of the growth is the result of pandemic assistance received by the University. He added that the funds are in the form of CARES Act funding, American Rescue Plan funding, and Minority Serving Institution funding. He further added the funding is reflected throughout the financial statements as increased aid to students, increased institutional support, etc.

He further reported that the balance sheet did not have any unusual variances noting that the budget to actual report showed a \$48M budget and \$24M received.

In closing, Regent Naholowaa stated the BFA Committee also reviewed the rules and regulations for the new class of Professional, Technical, Federal and Externally Funded Employees (PTE) and supports the resolution as presented to the full Board.

5.5.2 Collections Report

Regent Naholowaa reported that the Collections Report reflects \$75K in actual collections for the period ending February 28, 2022. He stated the targeted amount is \$250K for the year and that it looks like it will be difficult to reach the target. He added that Comptroller Abby Martin reported that a single payment of about \$60K was received in March and that the March report will look a little better.

5.5.3 Procurement Transactions and Contracts Report

Regent Naholowaa reported that the BFA committee reviewed the procurement transactions report. He stated that four large contracts were related to assistance provided by the Small Business Development Corporation to assist small businesses in managing through the pandemic. He added there was a purchase of air conditioning equipment for nearly \$600K that is being charged to the Higher Education Emergency Relief Funds. He further stated there was a change order in the amount of \$159K for construction management for the Cultural Repository whose completion date was extended, and that there were no cost changes to the contracts with Reliable Builders and the Office of the Governor to extend the time until completion of the Repository.

5.1 Student Affairs, Scholarship, Alumni Relations and Honorary Degree (SASARHD) Committee

5.1.1 Committee Update

Regent Diaz reported that the SASARHD Committee met on April 13th via zoom with a quorum present and presented and reviewed the proposed resolution for the Board's approval.

5.1.2 Resolution No. 22-13, Relative to Approving the Fañomnākan 2022 Commencement Graduate Listing

Regent Diaz introduced Resolution No. 22-13 and moved to approve, which was duly seconded by Regent Certeza. He summarized the resolution explaining the purpose with brief discussion on the graduation data as provided in the Boardbook. The motion carried.

5.2 Academic, Personnel and Tenure Committee (AP&T) Committee

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Chairperson Provido noted AP&T Committee Chairperson Regent Lesley Leon Guerrero is currently off-island and that Regent Malilay will be introducing the action items. Regent Malilay stated that the AP&T Committee met on April 13th via zoom with a quorum present and presented the proposed resolutions for the Board's approval.

5.2.1 Resolution No. 22-14, Relative to Approving the Master's in Accountancy Degree Program

Regent Malilay introduced Resolution No. 22-14 and moved to approve, which was duly seconded by Regent Diaz. She summarized the resolution explaining the purpose. The motion carried.

5.2.2 Resolution No. 22-15, Relative to Approving the Procedures, Regulations, and Policies Manual for Professional, Technical, Federal and Externally Funded Employees (PTE)

Regent Malilay introduced Resolution No. 22-15 and moved to approve, which was duly seconded by Regent Topasna. She summarized the resolution explaining the purpose. The motion carried.

5.2.3 Resolution No. 22-16, Relative to Adjusting the Administrator Salary Scale for the Position of Capital Projects Manager

Regent Malilay introduced Resolution No. 22-16 and moved to approve, which was duly seconded by Regent McKeever. She summarized the resolution explaining the purpose. Regent Diaz stated the Physical Facilities Committee also reviewed the resolution and recommends approval. The motion carried.

5.2.4 Resolution No. 22-17, Relative to Approving and Authorizing the University of Guam (UOG) President to Sign/Submit all Documents and to Negotiate on Behalf of the UOG Grant Proposal "CHamoru Culture and Language Researchers Training Program" to the U.S. Department of Health and Human Services, Administration for Native Americans

Regent Malilay introduced Resolution No. 22-17 and moved to approve, which was duly seconded by Regent Valencia. She summarized the resolution explaining the purpose. The motion carried.

5.2.5 Resolution No. 22-18, Relative to Expressing Appreciation to Fr. Francis X. Hezel for his Service as a Member of the Board of Regents

Regent Malilay introduced Resolution No. 22-18 and moved to approve, which was duly seconded by Regent Diaz. She summarized the resolution explaining the purpose. The motion carried.

5.3 Physical Facilities Committee

5.3.1 Committee Update

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Regent Diaz reported that the Physical Facilities Committee met on April 13th via zoom with a quorum present.

He reported on the USDA loan for the School of Engineering and Student Success Center stating that as previously reported there is a shortage and are looking at additional funding and stated that the Governor Leon Guerrero and the Legislature had committed \$5M each.

He stated that the Legislature was proposing a bill to increase the funding to 10M from the Earned Income Credit (EIC), but the funding from the source didn't materialize. He added that the Legislature is looking at other funds and revised Bill 197-36 from 10M to 5M and that it will come from surplus funds.

He reported on the Student Success Center stating that the Procurement Record has been reconstructed and that General Counsel Camacho has completed his review. He stated that USDA is making recommendations to update some of the AIA documents that are part of the procurement record. He added that once concurrence has been obtained, it will be communicated with Reliable Builders with the updated cost and the square footage.

He reported on the School of Engineering stating that the Request for Proposal (RFP) for the design-build project was issued on January 31, 2022. He stated the RFP was extended and closes on May 20, 2022.

He further reported on the Guam Cultural Repository stating that it should be completed by the end of April 2022. He noted that a Memorandum of Understanding is being discussed between UOG and the Department of CHamoru Affairs and that the transfer of assets is still undergoing negotiations and hopefully UOG will still be able to retain the assets.

He then reported on the Water and Environmental Research Institute (WERI) and School of Health (SOH) Building. He noted that a Notice to Proceed was issued earlier this year to RIM Architects and that the final design for the project is scheduled for September 2022. He further stated that an RFP for construction offers, for both buildings, should go out about Sept-Oct 2022 for a 24-month construction period.

He further reported on the life cycle/preventive maintenance around the University to include air conditioning systems, generator, and campus lighting. He also provided a brief report regarding Personnel, Safety and Security, UOG Green, and other ongoing projects and activities.

5.4 Investment Committee

5.4.1 Committee Update

Regent McKeever noted that the Investment Committee met on April 14th via zoom with a quorum present and that there are no action items.

She reported that the Triton Investment Club provided an update on the student investments. She stated that the balance in the account as of March 31st, 2022, was \$50,649.06 and noted the Year to Date (YTD) returns as of the March 31st was -4%, which was expected as the whole market is down.

She then reported that Amanda Gima of Raymond James and Associates walked through investment results through April 8, 2022. She stated the balance of the portfolio as April 8th, 2022, was \$26,148,624 and that the YTD return as of April 8th was -1.05% which is just above

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its benchmark performance of \$-1.25%. She further summarized the report as presented at the Investment Committee meeting.

In closing, she reported that a working session is being set for April 26 at 3 pm to review the short-term investments of the University.

6.0 AD HOC REPORT: ENDOWMENT FOUNDATION UPDATE

Katrina Perez, Executive Director, provided the BOR with an update on the UOGEF projects and events. A copy of the update is attached.

7.0 OPEN PRESENTATION (3 Minute Limit per Person)

Chairperson Provide opened the floor for open presentations.

Lt. Colonel (LTC) Tom Anderson, Professor of Military Science and Director, UOG ROTC, thanked the BOR for supporting students in achieving their dreams of earning a college degree. He stated that each year the BOR sets aside 12 tuition free scholarships for ROTC cadets, which is the Major Henry San Nicolas Ofeciar Scholarship in honor of UOG ROTC graduate Major Ofeciar who died serving the island in Afghanistan in 2007. He noted the success of the officer cadets have in the Army when they leave the UOG ROTC program is that the typical rate for an officer to make the rank of LTC is 15%. He added that in the past research is that over 60% of UOG ROTC cadets that are commissioned retire as LTC or higher. He stated that he will be transitioning in the summer and that LTC Neil Armstrong will be taking over the ROTC program.

President Krise thanked LTC Anderson for the statistics he shared and for his service. He also congratulated him on his retirement.

8.0 ELECTION OF OFFICERS

Chairperson Provide opened the floor for nominations for the BOR Officer positions.

8.1 Chairperson

Regent Diaz nominated Regent Provide to continue as Chairperson. Regent Provide accepted the nomination.

8.2 Vice Chairperson

Regent Certeza nominated Regent McKeever to continue as the Vice Chairperson. Regent McKeever accepted the nomination.

8.3 Treasurer

Regent McKeever nominated Regent Naholowaa to continue as the Treasurer. Chairperson Provide confirmed that prior to Regent Naholowaa leaving the zoom meeting, he accepted the nomination.

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Chairperson Provide asked if there were any further nominations or opposition to the slate, which there were none. Regent Certeza moved to close the nominations, which was duly seconded by Regent Valencia. The motion carried unanimously.


9.0 ADOPTION OF BOR MEETING SCHEDULE FOR AY2022-2023

The BOR Meeting schedule for AY2022-2022 is for informational and review purposes. The schedule is subject to change.

Regent Malilay moved to adopt the BOR meeting scheduled for AY2022-2023, which was duly seconded by Regent Diaz. The motion carried.


8.0 ADJOURNMENT

Regent McKeever moved that the zoom meeting be adjourned, which was duly seconded by Regent Valencia. The motion carried. Chairperson Provide adjourned the meeting at 6:55 p.m., CHamoru Standard Time.



Liza J. Provide, Chairperson

ATTESTED:



Thomas W. Krise, Ph.D., Executive Secretary

20220421 Prez Report to BOR Regular Meeting

In Memoriam (see slides)

Less than 2 months since our last meeting, which started just a few hours after the Russian invasion of Ukraine. Let's all keep peace—and the lives and welfare of the people of Ukraine in our prayers

I'd like to open with two pieces of recent happy news:

- UOG was officially awarded full institutional status as a Sea Grant University this week; the praise from NOAA and the review panel really shows that the UOG Sea Grant team didn't just reach the bar, it raised it!
- And congratulations to our SGA President Angelo Paule on his acceptance to the Georgetown University School of Foreign Service to pursue a Master of Science in Foreign Service!

Partnerships

- Mainland and Hawaii trip
 - UOG@70 Alumni gathering in Honolulu
 - UOG@70 Alumni gathering in Seattle
 - UOG@70 Alumni gathering The Wharf DC
 - UOG@70 Alumni gathering House of Chamorros in Balboa Park San Diego
 - MOU signed at the headquarters of the Department of Homeland Security (DHS) for help on cybersecurity and climate change adaptation
 - Cybersecurity Infrastructure Security Administration from DHS visited to help UOG improve cybersecurity
 - FAA to help advance the Guam Aviation Initiative
 - USDA to thank them for the \$21.7M loan for the SENG and SSC and to explore new options under the Infrastructure Act
 - Met with all three Pacific Delegates to Congress—all three of whom are UOG alumni
 - Met several times with Madeleine Bordallo who connected me with the National Governors Association education advocate
 - Met in Honolulu with UH-Manoa telemedicine office
 - Met with US Sen Brian Schatz's staff to push for stronger support for AANAPISI funding
- CIS Conference and Oceans Conference
 - Excellent participation in CIS's 13th annual conference
 - Strong new connections across the university with new East West Center (EWC) president Suzanne Vares-Lum, which reinforced the new Micronesian region push at EWC led by Dr Mary Hattori and a group of Guam and other Pacific Island fellows and staffers there
 - UOG was represented at the Palau Oceans Conference by a team from CIS, and many of the CIS Conference participants were involved, keeping the momentum

of our efforts on G3, CIS, Sea Grant, CNAS Extension and Outreach, Marine Lab, WERI etc all moving forward

Omnium and Gatherum:

- Thanks to VPAF Randy Wiegand and Budget Officer Rachel Cubacub and their teams for our successful audit, and our preparation for the Legislative Budget Hearing last week; thanks, too, to our BOR Chairperson Liza Provideo, SVPP Dr Anita Enriquez, VPAF Randy Wiegand, Financial Aid Director Mark Duarte and Vice Provost Dr Rachael Leon Guerrero for a very positive and I think well received budget hearing
- We circulated a new organizational structure for the School of Education, which is designed to support new graduate degrees in line with our Para Hulo' strategic plan goals; we anticipate bringing EdS and EdD degrees to the board for review in the near future; this will add to the new Master of Accountancy that is before you at this meeting; the EdD will be UOG's first doctoral degree and we anticipate the careful development of others as the faculty assess local and regional need and our capacity for further growth in graduate education
- And at our Tiningo Tritons (all hands) meeting this morning, we highlighted our new FMX software for placing workorders for Facilities and Management Services; in just one quarter of use so far, it's improved customer service and the speed of service—and

UOG@70 and Charter Month+

- Well attended official Charter Day event on Mar 31st
- First F2F Charter Day celebration hosted by SGA
- CHamoru Language Competition once again kicked off our Charter Week
- CNAS Green Night last evening as part of 50th anniversary of our Land Grant Status
- UOG Endowment Foundation was honored at Adelup for its 40th anniversary
- 42nd Presidential Lecture with Neal Weare of Equally American and Attorney General Leevin Camacho last evening
- Monthly themed press releases and social media promotions on CHamoru heritage, Island Sustainability, Diversity and Inclusion, etc.
- And I can take this opportunity to praise MarCom for receiving now 18 national **and international** awards **this year** for excellence for our **70th Anniversary Branding, our research report, digital marketing recruitment campaign**, and other great projects at UOG.

Upcoming Events

- The Micronesian Culture & Traditions Day, Fri 6pm-8pm in the Calvo Field House
- CNAS STEM Conference on Saturday
- Marine Lab 50th Anniversary book launch event Sat, May 7, from 5:30pm
- Commencement, Sun May 22nd, at 2pm, with Dr. John Taitano (Distinguished Alumnus Award recipient) as Commencement Speaker; we are braced for having to hold multiple ceremonies (as we did in December), but expect that the governor will relax the rules enough to allow us to hold just one ceremony

- Thanks to the four regents who will be able to attend the Governing Board Training Workshop in Honolulu in June, conducted by the ACCT and involving fellow governing board members from across the Pacific Islands

Recently Tenured and Promoted Faculty present for the meeting

- Wai Yi Ma, University Libraries
- Michael Orr, Biology, CNAS
- Roland San Nicolas, University Libraries

In Memoriam

March 4, 2022

Catherine C. Ogo

Mother of Cecilia “Cil” Ogo, Library Technician II,
Robert F. Kennedy Memorial Library

In Memoriam

March 9, 2022

Amanda E. Arceo

Accounting Technician III (Retired June 2016)

College of Natural & Applied Sciences

In Memoriam

March 14, 2022

Daniel John Artero

Brother of Monica Medina, Accounting Technician Supervisor,
Comptroller's Office

In Memoriam

April 16, 2022

Mr. Kenneth V. Mendiola

Maintenance Custodian
Facilities Management Services

**UOG Endowment Foundation
Board of Regents Update
04/21/2022**

Håfa Adai, Madam Chair Provido and members of the Board of Regents. Thank you all for giving me the opportunity to speak.

- Fundraisings & Notable Events
 - On April 1st, Governor Lourdes Leon Guerrero proclaimed April 2022 in honor and recognition of the 40th anniversary of the UOG Endowment Foundation. Although this was announced via virtual ceremony, among the dignitaries that showed support in person in at the Governor's Conference Room in Adelup were Acting Lt. Governor, Therese Terlaje, Senator Amanda Shelton, and Sen. Joe San Agustin. Among the 7 members of the Board of Directors present were 2 members that were key in the early development of the UOG Endowment Foundation: former Governor and current Board Director, Joseph F. Ada and former UOG President and UOGEF Board Chairman, Dr. Wilfred P. Leon Guerrero.
 - Lina'la-ta III – Fundraiser for the General Endowment in celebration of 40th Anniversary
 - This 3-part televised special will showcase CHamoru music history and heritage, featuring 45-minute shows with performances and interviews from the 2014 & 2015 Lina'la-ta concert events, a tribute to Patrick Palomo, and spotlights on a few current CHamoru music performers.
 - The third episode will be broadcast during a 2 week period after Memorial Day, May 30 airing on Thursdays, Saturdays, and Sundays on KUAM TV11/TV8, Docomo TV1 and GNN GTA Ch17.
 - Techstars Startup Weekend Micronesia "Solve 2day, Evolve 2morrow" – April 27 to 29th – Virtual event put together by the Entrepreneur Society to promote the entrepreneurial spirit and encourage students to actively pursue bringing their ideas to life.
 - UOG Marine Laboratory 50 Years of Science & Service – Pre-orders still being accepted online at give.uog.edu. A book launch event is planned for May 7th.
 - In honor of UOG's 70th Anniversary, we will work with Norman Analista, Director of Development, Alumni Affairs & Foundation Relations office in continued outreach and fundraising for the Annual Fund among alumni, private companies, and foundations.
 - Special thanks go out to Jonas Macapinlac and his team with the Office for Integrated Marketing & Communications for their support in developing an online portal to support this effort
- Notable Donations received:
 - \$250,000 – from the Guam Board of Accountancy
 - \$100,000 – pledge from Calvo Enterprises associated with the Calvo UOG Fieldhouse
 - \$50,000 – from Dr. Lee Carter bolster for Student Scholarship in Support of Standard English in memory of UOG's former President Rosa Roberto Carter (totaling \$100,000)
 - \$30,000 - from Takagi & Associates to support research projects related to Japanese immigration to Guam and MARC.
 - \$15,000 – CACI/Guam Army National Guard for Triton Esports

- \$10,000 – Bank of Guam – Co-presenter sponsorship of Lina'lata III
- \$10,000 – Anonymous – to bolster the Tanom Bernard Watson Scholarship to benefit students studying Agriculture and Food Science in the ALS Division